

02/05/02

3951 U.S. PTO

02-08-02

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PTO/SB/05 (08-00)

1002 U.S. PTO  
10/068366

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	<i>Attorney Docket No.</i>	<b>053768.0002</b>
	<i>First Named Inventor or Application identifier</i>	<b>Robert E. Fischer</b>
<b>ADDRESS TO:</b> Assistant Commissioner of Patents Box Patent Application Washington, DC 20231	<i>Title</i>	Flashlight Producing Uniform High Brightness
	<i>Express Mail No.</i>	EL339111805US

**APPLICATION ELEMENTS**

- See MPEP chapter 600 concerning utility patent application contents
- ☒ Fee Transmittal Form PTO/SB/17 (submit in duplicate)
  - ☒ Applicant claims small entity status. See 37 CFR 1.27.
  - ☒ Specification [Total Pages: 12]
  - ☒ Drawing(s) (35 USC 113) [Total Sheets: 2]
  - ☐ Oath or Declaration [Total Pages:     ]
    - ☐ Newly executed (original or copy)
    - ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with No. 17 completed)  
[Note No. 6 below]
      - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in  
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - ☐ Incorporation By Reference (useable if No. 5b is  
checked)  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under No. 5b, is considered as  
being part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein.
  - ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
    - ☐ Computer Readable Copy (CRF)
    - ☐ Specification Sequence Listing on:
      - ☐ CD-ROM or CD-R (2 copies); or
      - ☐ paper
    - ☐ Statement verifying identity of above copies
  - ☐ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

**ACCOMPANYING APPLICATION PARTS**

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
- ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure  
Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (Itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Other: \_\_\_\_\_

19. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

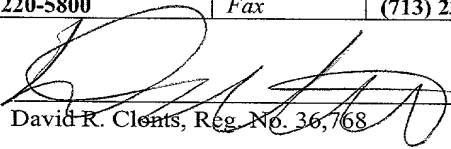
- |   |   |
|---|---|
| <input type="checkbox"/> Continuation               | of prior application No.: _____ / _____ |
| <input type="checkbox"/> Divisional                 | Prior Application Information: _____    |
| <input type="checkbox"/> Continuation-in-part (CIP) | Examiner _____ Group Art Unit: _____    |

**20. Correspondence Address**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label _____ or _____ Correspondence address below 01200 (Insert Customer No. or Attach bar code label here)					
Name	Attn: David R. Clonts AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.				
Address	711 Louisiana, 1900 Pennzoil - South Tower				
City	Houston	State	Texas	Zip Code	77002
Country	U.S.A.	Telephone	(713) 220-5800	Fax	(713) 236-0822

Date: February 5, 2002

Express Mail No. EL339111805US

  
David R. Clonts, Reg. No. 36,768

1. X The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
Deposit Account No.: 16-2435  
Deposit Account Name:  
Akin, Gump, Strauss, Hauer & Feld, L.L.P.  
X Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17  
X Applicant claims small entity status.  
See 37 CFR 1.27

2. X Payment Enclosed: X Check  
 — Money Order  
 — Credit Card  
 — Other

## 1. Basic Filing Fee

Large Fee Code	Large Entity Fee (\$)	Small Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	\$740	201	\$370	Utility Filing Fee	\$ 370.00
106	\$330	206	\$165	Design Filing Fee	\$
107	\$510	207	\$255	Plant Filing Fee	\$
108	\$740	208	\$370	Reissue Filing Fee	\$
114	\$160	214	\$ 80	Provisional Filing Fee	\$
				<b>Subtotal (1)</b>	\$370.00

Claims		Extra		Fee (below)		Fee Paid
Total	33 -20** =	13	x	\$9.00	=	\$ 117.00
Indep.	2 - 3** =	0	x	\$0	=	\$ 0
Multiple Dependent						\$

For Reissues, see below

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
\$ 18	\$ 9	Claims in excess of 20
\$ 84	\$ 42	Independent claims in excess of 3
\$ 280	\$ 140	Multiple dependent claim, if not paid
\$ 84	\$ 42	**Reissue independent claims over original patent
\$ 18	\$ 9	**Reissue claims in excess of 20 and over original patent
		<b>Subtotal (2)</b>
		\$ 117.00

### 3. Additional Fees

Large Fee Code	Large Entry	Small Fee Code	Small Entry	Fee Description	Fee Paid
105	\$ 130	205	\$ 65	Surcharge - late fee or oath	\$
127	\$ 50	227	\$ 25	Surcharge - late provisional filing fee or cover sheet	\$
147	\$2,520	147	\$2,520	Request for Reexamination	\$
112	\$920*	112	\$920*	Requesting publication of SIR prior to Examiner action	\$
113	\$1840*	113	\$1840*	Requesting publication of SIR after Examiner action	\$
115	\$ 110	215	\$ 55	Extension for reply within first month	\$
116	\$ 400	216	\$ 200	Extension for reply within second month	\$
117	\$ 920	217	\$ 460	Extension for reply within third month	\$
118	\$1,440	218	\$ 720	Extension for reply within fourth month	\$
128	\$1,960	228	\$ 980	Extension for reply within fifth month	\$
119	\$ 320	219	\$ 160	Notice of Appeal	\$
120	\$ 320	220	\$ 160	Filing a brief in support of an appeal	\$
121	\$ 280	221	\$ 140	Request for oral hearing	\$
140	\$ 110	240	\$ 55	Petition to revive - unavoidable	\$
141	\$1,280	241	\$ 640	Petition to revive - unintentional	\$
142	\$1,280	242	\$ 640	Utility issue fee (or reissue)	\$
143	\$ 460	243	\$ 230	Design issue fee	\$
144	\$ 620	244	\$ 310	Plant issue fee	\$
122	\$ 130	122	\$ 130	Petitions to the Commissioner	\$
123	\$ 50	123	\$ 50	Petitions related to provisional applications	\$
126	\$ 180	126	\$ 180	Submission of Information Disclosure Statement	\$
581	\$ 40	581	\$ 40	Recording each patent assignment per property (times number of properties)	\$
146	\$ 740	246	\$ 370	Filing a submission after final rejection (37 CFR 1.129(a))	\$
179	\$ 740	279	\$ 370	Request for Continued Examination (RCE)	\$
169	\$ 900	169	\$ 900	Request for expedited examination of a design application	\$

Other fee (specify): \_\_\_\_\_ \$

Other fee (specify): \_\_\_\_\_ \$

\* Reduced by Basic Filing Fee Paid

Subtotal (3) \$ 0

Submitted by

David R. Clonts, Reg. No. 36,768

Date: February 5, 2002

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